



IB 80040-100/IBIS-0007

PATENT

10/27/03

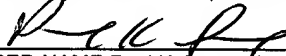
\$ 1631

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: David J. Ecker, et al : Art Unit: 1631  
Serial No.: 09/076,404 : Examiner: A. Marschel  
Filed: May 12, 1998 :  
For: MODULATION OF :  
MOLECULAR INTERACTION  
SITES ON RNA AND OTHER  
BIOMOLECULES

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

DATE OF DEPOSIT: 9 SEPTEMBER 2003  
I HEREBY CERTIFY THAT THIS PAPER IS BEING  
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TO THE ASSISTANT COMMISSIONER FOR PATENTS,  
BOX 1450, ALEXANDRIA, VA 22313-1450

  
TYPED NAME: Paul K. Legaard  
REGISTRATION NO: 38,534

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

S I R :

Pursuant to 37 C.F.R. § 1.56 and in accordance with §§ 1.97-1.98,  
information relating to the above-identified application is hereby disclosed on the  
attached PTO/SB/08A. Inclusion of information in this statement is not to be construed  
as an admission that this information is material as that term is defined in 37 C.F.R. §  
1.56(b).

In accordance with 37 C.F.R. § 1.97(g), the filing of this Information  
Disclosure Statement shall not be construed to mean that a search has been made.

09/12/2003 NMEKONEN 00000034 501275 09076404

01 FC:1806 180.00 DA

In accordance with §1.97(d), this Information Disclosure Statement is being filed after the mailing date of a Final Action under §1.113, but before the payment of the Issue Fee, therefore a Certification in Accordance with §1.97(e) accompanies this transmittal.

Respectfully submitted,



Paul K. Legaard  
Registration No. 38,534  
Attorney for Applicant

Enclosures:  
PTO/SB/08A

Dated: 9 September 2003

Cozen O'Connor  
1900 Market Street  
Philadelphia, PA 19103  
Tel: 215-665-2000



BIS0040-100/IBIS-0007

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CERTIFICATION IN ACCORDANCE WITH 37 C.F.R. §1.97(e)

Commissioner for Patents  
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Alexandria, VA 22313-1450

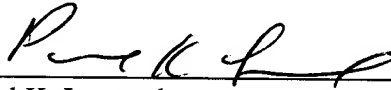
S I R :

Pursuant to 37 C.F.R. §§ 1.97(e), Applicants assert:

☒ That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement; or

☐ That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in §1.56(c) not more than three months prior to the filing of the information disclosure statement.

Respectfully submitted,



Paul K. Legaard  
Registration No.: 38,534  
Attorney for Applicant

Enclosures:  
PTO/SB/08A

Dated: 9 September 2007

Cozen O'Connor  
1900 Market Street  
Philadelphia, PA 19103  
Tel: 215-665-2000



Please type a plus sign (+) inside this box → ☐

PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

<b>TRANSMITTAL FORM</b> <i>(to be used for all correspondence after initial filing)</i>	<b>Application Number</b>	09/076,404	
	<b>Filing Date</b>	May 12, 1998	
	<b>First Named Inventor</b>	David J. Ecker	
	<b>Group Art Unit</b>	1631	
	<b>Examiner Name</b>	A. Marschel	
<b>Total Number of Pages in This Submission</b>	3	<b>Attorney Docket Number</b>	IBIS-0040-100/IBIS-0007

ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form  <input type="checkbox"/> Fee Attached  <input type="checkbox"/> Amendment / Response  <input type="checkbox"/> After Final  <input type="checkbox"/> Affidavits/declaration(s)  <input type="checkbox"/> Extension of Time Request  <input type="checkbox"/> Express Abandonment Request  <input checked="" type="checkbox"/> Information Disclosure Statement  <input type="checkbox"/> Certified Copy of Priority Document(s)  <input type="checkbox"/> Response to Missing Parts/Incomplete Application  <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application)  <input type="checkbox"/> Drawing(s)  <input type="checkbox"/> Licensing-related Papers  <input type="checkbox"/> Petition  <input type="checkbox"/> Petition to Convert to a Provisional Application  <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address  <input type="checkbox"/> Terminal Disclaimer  <input type="checkbox"/> Request for Refund  <input type="checkbox"/> CD, Number of CD(s)	<input type="checkbox"/> After Allowance Communication to Group  <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences  <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)  <input type="checkbox"/> Proprietary Information  <input type="checkbox"/> Status Letter  <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):  <b>References AA-AB and BA-BB, cited reference pages from International Search Report and certification under 37 C.F.R. 1.97(e)</b>
<b>Remarks</b>		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Paul K. Legaard/Reg. No. 38,534
Signature	<i>Paul K. Legaard</i>
Date	9 September 2003

CERTIFICATE OF MAILING			
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: 9 September 2003			
Typed or printed name	Paul K. Legaard		
Signature	<i>Paul K. Legaard</i>	Date	9 September 2003

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# FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) 180.00

## Complete if Known

Application Number 09/076,404  
Filing Date May 12, 1998  
First Named Inventor David J. Ecker  
Examiner Name A. Marschel  
Group / Art Unit 1631  
Attorney Docket No. IBIS0040-100/IBIS-0007

## METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None

☒ Deposit Account:

Deposit Account Number 50-1275

Deposit Account Name

The Commissioner is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☒ Credit any overpayments  
☒ Charge any additional fee(s) during the pendency of this application  
☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

## FEE CALCULATION

### 1. BASIC FILING FEE

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
101	740	201	370	Utility filing fee	
106	330	206	165	Design filing fee	
107	510	207	255	Plant filing fee	
108	740	208	370	Reissue filing fee	
114	160	214	80	Provisional filing fee	

SUBTOTAL (1)

(\$ 0)

### 2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
	** = 0	X	= 0
Independent Claims	** = 0	X	= 0
Multiple Dependent		X	= 0

Large Entity		Small Entity		Fee Description
Fee Code	Fee (\$)	Fee Code	Fee (\$)	
103	18	203	9	Claims in excess of 20
102	84	202	42	Independent claims in excess of 3
104	280	204	140	Multiple dependent claim, if not paid
109	84	209	42	** Reissue independent claims over original patent
110	18	210	9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2)

(\$ 0)

\*\*or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet.	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	400	216	200	Extension for reply within second month	
117	920	217	460	Extension for reply within third month	
118	1,440	218	720	Extension for reply within fourth month	
128	1,960	228	980	Extension for reply within fifth month	
119	320	219	160	Notice of Appeal	
120	320	220	160	Filing a brief in support of an appeal	
121	280	221	140	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,280	241	640	Petition to revive - unintentional	
142	1,280	242	640	Utility issue fee (or reissue)	
143	460	243	230	Design issue fee	
144	620	244	310	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Processing fee under 37 CFR 1.17 (q)	
126	180	126	180	Submission of Information Disclosure Stmt	\$180
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	740	246	370	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	740	249	370	For each additional invention to be examined (37 CFR § 1.129(b))	
179	740	279	370	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	

Other fee (specify)

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

(\$ 180.00)

## SUBMITTED BY

## Complete (if applicable)

Name (Print/Type) Paul K. Legaard Registration No. Attorney/Agent 38,534 Telephone 215-665-2000  
Signature [Signature] Date 9 SEPTEMBER 2007

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